



# TRANSMITTAL FORM

Application Serial Number	09/256,156
Filing Date	February 24, 1999
First Named Inventor	Gillies
Group Art Unit	Not Assigned
Examiner Name	Not Assigned
Attorney Docket No.	LEX-003

## ENCLOSURES (check all that apply)

- |  |   |   |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form<br><input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets ____]<br><input type="checkbox"/> Extension of Time Request<br><input checked="" type="checkbox"/> Information Disclosure Statement<br><input checked="" type="checkbox"/> Form PTO-1449<br><input checked="" type="checkbox"/> Copies of IDS Citations<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><input type="checkbox"/> Formal Drawing(s)<br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Patent Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> )<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><input type="checkbox"/> Additional Enclosure(s) ( <i>please identify below</i> ) |
|--|---|---|

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110  
Tel. No.: (617) 248-7000  
Fax No.: (617) 248-7100

## SIGNATURE BLOCK

Date: October 4, 1999  
Reg. No. 41,418  
Tel. No.: (617) 248-7240  
Fax No.: (617) 248-7100

Respectfully submitted,



Patrick R. H. Waller, Ph.D.  
Agent for Applicant(s)  
Testa, Hurwitz & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, MA 02110



RECEIVED

OCT 14 1999

TECH CENTER 1600/2900

PATENT  
Attorney Docket No. LEX-003

694/1600

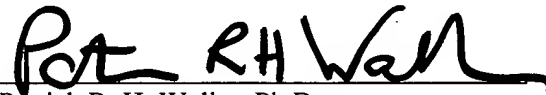
1646

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Gillies et al.  
SERIAL NO.: 09/256,156 GROUP NO.: Not Assigned  
FILING DATE: February 24, 1999 EXAMINER: Not Assigned  
TITLE: ENHANCING THE CIRCULATING HALF LIFE OF ANTIBODY  
FUSION PROTEINS

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 4<sup>th</sup> day of October, 1999.

  
Patrick R. H. Waller, Ph.D.

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith is/are: Transmittal Form (1 page); Fee Transmittal (1 page); Information Disclosure Statement ( 2 pages); PTO Form 1449 (2 pages); a copy of references A1, B1-B6, C1-C9; and a mailroom postcard.



PATENT  
Attorney Docket No. LEX-003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Gillies et al.  
SERIAL NO.: 09/256,156 GROUP NO.: Not Yet Assigned  
FILING DATE: February 24, 1999 EXAMINER: Not Yet Assigned  
TITLE: ENHANCING THE CIRCULATORY HALF LIFE OF ANTIBODY-BASED FUSION PROTEINS

#6  
JGJ  
10/18/99

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. §1.97, Applicants hereby make of record the references listed on the accompanying Form PTO-1449 for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the references are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. §1.97, this statement is being filed:

- ☒ (1) within three (3) months of the **Filing Date** or before the mailing date of the **First Office Action** on the merits;
- ☒ (2) if a First Office Action has been mailed
- ☒ the requisite Statement is below, **OR**
- ☐ the requisite fee under Rule 1.17(p), namely **\$240.00**, is included herein, or
- ☐ (3) after the mailing date of a **Final Rejection** or **Notice of Allowance** but before the payment of the **Issue Fee**, **AND**
- ☐ Applicant hereby Petitions the Commissioner to accept and consider the attached Information Disclosure Statement, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee due under Rule 1.17(i)(I), namely **\$130.00** is included herein.

It is respectfully requested that each of the references shown on the attached Form PTO-1449 be made of record in this application.

#### STATEMENT

As required under §1.97(e), Applicants, through the undersigned, hereby state that [check the appropriate space]:

- ☒ 1. Items B1-B6 and C1-C9 contained in the Information Disclosure Statement were cited in a communication from a foreign patent office in a counterpart foreign application **not more than** three months prior to the filing date of the Information Disclosure Statement
- ☒ 2. Item A1 contained in the Information Disclosure Statement was not cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing this Statement after making reasonable inquiry, item A1 contained in the Information Disclosure Statement was not known to **any** individual designated in §1.56(c) **more than** three months prior to the filing of the Information Disclosure Statement.

#### FEE AUTHORIZATION

Should any fee associated with the submission of this paper not be attached hereto as a check, the Commissioner is authorized to charge the missing fee to our Deposit Account, No. 20-0531. Any overpayments should be credited to said Deposit Account.

Respectfully submitted,



Patrick R. H. Waller  
Agent for Applicant(s)  
Testa, Hurwitz, & Thibault, LLP  
High Street Tower  
125 High Street  
Boston, Massachusetts 02110

Date: October 4, 1999  
Reg. No. 41,418

Tel. No.: (617) 248-7240  
Fax No.: (617) 248-7100